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PATENT
Docket No. 3977-4006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Joel Arberman Group Art Unit: 2171
Serial No. : 09/728,353 Examiner: To be assigned
Filed : December 1, 2000
For : SYSTEM AND METHOD FOR CONTENT RECOGNITION OVER THE INTERNET

#2

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ513415433US

Date of Deposit February 28, 2001

I hereby certify that the following attached paper(s) and/or fee:

1. Assertion of Small Entity Status and Request for a Fee Refund;
2. Copy of Filing Receipt;
3. Copy of Statement of Small Entity Status filed on December 1, 2000;
4. Copy of return receipt postcard acknowledging receipt of the Statement claiming Small Entity Status by the PTO;
5. Copy of executed Express Mail Certificate certifying the deposit of the Statement claiming Small Entity Status on December 1, 2001; and
6. Return Receipt Postcard

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents, Box Patent Application,
Washington, D.C. 20231.

Francisco Garcia

(Typed or printed name of person
mailing paper(s) and/or fee)

Francisco Garcia
(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
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(212) 751-6849 Facsimile

Adjustment date: 03/19/2001 NVILLARI
12/06/2000 HLE333 00000073 134500 09728353
01 FC:101 710.00 CR
02 FC:102 800.00 CR
03 FC:103 540.00 CR

03/19/2001 NVILLARI 00000011 134500 09728353
01 FC:201 355.00 CH
02 FC:202 400.00 CH
03 FC:203 270.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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THE INTERNET



Group Art Unit: 2171

Commissioner for Patents
Washington, D.C. 20231

**ASSERTION OF SMALL ENTITY STATUS AND
REQUEST FOR A FEE REFUND**

COMMISSIONER OF PATENTS
Washington, D.C. 20231

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Sir:

Small entity status is entitled to be asserted for the above-identified patent.

We received the filing receipt for this application on February 7, 2001. Upon our examination of the filing receipt dated January 12, 2001, we noticed that the PTO records do not reflect the small entity status for this application, and the Applicant was incorrectly charged as a large entity.

We enclose a copy of the small entity statement filed with the PTO on December 1, 2000, together with our proof of mailing (i.e., return receipt postcard acknowledging receipt of the Statement claiming Small Entity Status by the PTO, and an executed Express Mail Certificate certifying the deposit of the Statement claiming Small Entity Status on December 1, 2001).

Accordingly, Applicant respectfully requests that the records be changed.

Applicant also respectfully requests that a refund of 50% of the original filing fee paid on this application -- i.e., a refund of \$1,025.00 -- be made in view of the establishment of its Small Entity Status. Applicant respectfully requests that such refund be in the form of a check

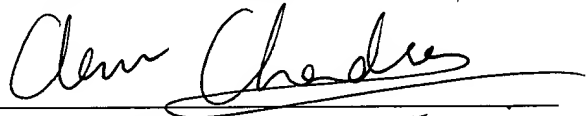
made payable to Applicant's attorneys, Morgan & Finnegan, LLP, and be forwarded to the mailing address below, or in the alternative, that such refund be credited to the account of Applicants' attorneys, that is, Deposit Account No. 13-4500, Order No. 3977-4006. A DUPLICATE COPY OF THIS PAPER IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: February 28, 2001

By:


Arun Chandra
Reg. No. 43,537

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APPLICATION NUMBER	FILING DATE	GRP ART. UNIT.	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/728,353 ✓	12/01/2000 ✓	2171	2050	LB977-4006	5 ✓	50 ✓	13 ✓

Walter G. Hanchuk
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154

CONFIRMATION NO. 4123

FILING RECEIPT



OC000000005675430

Date Mailed: 01/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joel Arberman, Atlanta, GA; ✓

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/168,347 12/01/1999 ✓

Foreign Applications

Projected Publication Date: WPUB

Non-Publication Request: No

Early Publication Request: No

3977-4006
CASE 400613017 ATTY WGH
INFORMATION DISCLOSURE
STATEMENT _____
FOREIGN FILING _____
CONVENTION DATE EXPIRES _____

Title

System and method for content recognition over the internet ✓

Preliminary Class

707

Data entry by : WODAJE, ELENI

Team : OIPE

Date: 01/12/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15

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